

10-15-01

A

ATTORNEY'S DOCKET NO.:  
020431.0919

PATENT

Page 1

Express Mail No.: EL 501019815 US

PATENT APPLICATION

Attorney Docket No.: 020431.0919

TRANSMITTAL FOR U.S. PATENT APPLICATION  
UNDER 37 C.F.R. § 1.53(b)

Box: Patent Application  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231

Sir:

Inventor or Application Identifier:

Manoel (nmi) Tenorio

Transmitted herewith for filing is the patent application entitled:

*Displaying Strikes Between Bids and Asks in a  
Market Over Time Using Polygons*

Enclosed are:

- ☒ Specification, Claims and Abstract ( 25 Total Pages)
- ☒ Drawing(s) ( 2 Total Sheet(s) of ☒ Formal ☐ Informal)
- ☒ Combined Declaration and Power of Attorney ( 2 Total Pages)  
☒ Newly executed (original or copy)
- ☒ An Assignment of the invention to i2 Technologies US, Inc. is attached. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h).
- ☒ Certificate of Mailing ( 1 page)
- ☒ Return Receipt Postcard
- ☐ Information Disclosure Statement (IDS) with PTO-1449
- ☐ Copies of IDS Citations.
- ☒ Non-Publication Request Under 35 U.S.C. § 122(b)(2)(B)(i) (1 page);
- ☐ Other

Express Mail Receipt  
No. EL 501019815US

Applicant is:

☒ Large Entity  
☐ Small Entity

The accompanying application is:

☒ Original  
☐ Continuation ☐ Divisional ☐ Continuation-In-Part  
☐ (CIP) of prior application No. \_\_\_\_\_ which is hereby incorporated by  
reference therein.


FEE CALCULATION (LARGE ENTITY)					FEE
	Number		Number Extra	Rate	Basic Fee \$740.00
Total Claims:	32	-20 =	12	X \$18.00	216.00
Independent Claims	5	- 3 =	2	X \$84.00	168.00
TOTAL FILING FEE =					\$1,224.00

☒ Enclosed is a check in the amount of \$1,224.00 for fees.

☒ Please charge any additional fees required by this paper to Deposit Account  
No. 02-0384 of BAKER BOTTS L.L.P. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

BAKER BOTTS L.L.P.

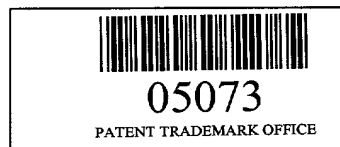


Christopher W. Kennerly  
Reg. No. 40,675  
Attorneys for Applicants

Dated: October 12, 2001

Correspondence Address:

☒ Customer Number or Bar Code Label



10/12/01  
J1052 U.S. PTO

ATTORNEY'S DOCKET NO.:  
020431.0919

PATENT

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Manoel (nmi) Tenorio

Filing Date: October 12, 2001

Title: Displaying Strikes Between Bids and Asks in a Market  
Over Time Using Polygons

**BOX PATENT APPLICATION**

Assistant Commissioner

for Patents

Washington, DC 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application, Fee Transmittal Sheet, Declaration and Power of Attorney, Assignment Recordation Form and Assignment, 2 sheets of Formal Drawings, Non-Publication Request, checks in the amounts of \$1,224.00 and \$40.00, and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 12th day of October, 2001 and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

*Willie Jiles*

Willie Jiles

Express Mail Receipt  
No. EL 501019815 US  
Attorney's Docket No.: 020431.0919

ATTORNEY DOCKET NO.  
020431.919

PATENT APPLICATION

1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:           Manoel (nmi) Tenorio

Filing Date:                   October 12, 2001

Title:                           *Displaying Strikes Between Bids and Asks in a Market Over  
Time Using Polygons*

**BOX: Patent Application**

Assistant Commissioner of Patents

Washington, D.C. 20231

Dear Sir:

**NON-PUBLICATION REQUEST**

Pursuant to 37 C.F.R. § 1.213(a), Applicants respectfully request that the above-identified patent application not be published under 37 U.S.C. § 122(b). Applicants hereby certify that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicants



Christopher W. Kennerly  
Reg. No. 40,675

Please send all correspondence to:  
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Date: October 12, 2001

09/29/2001 09:07:03